

AP15 Rec'd PCT/PTO 04 AUG 2006

FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>2590-161</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/588291</b> Unknown
INTERNATIONAL APPLICATION NO. <b>PCT/IB2005/050264</b>	INTERNATIONAL FILING DATE <b>24 January 2005</b>	PRIORITY DATE CLAIMED <b>6 February 2004</b>

## TITLE OF INVENTION

MICROREPLICATION OF TRANSITORY-IMAGE RELIEF PATTERN BASED OPTICALLY VARIABLE DEVICES

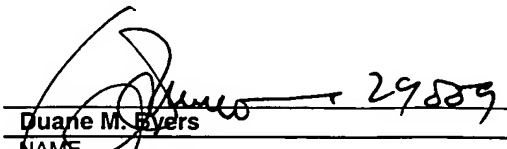
## APPLICANT(S) FOR DO/EO/US

LEUNG KI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
  3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
  4. ☐ The U.S. has been elected (Article 31).
  5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☒ WO 2005/074358 is attached hereto (18 pages specification, claims & abstract (20 claims), 2 sheets drawings).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(3))
    - a. ☐ is attached hereto (        pages specification, claims & abstract (        claims),        sheets drawings,        page Certificate of Translation).
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. a. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
    - b. ☐ Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (        page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).
  10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 To 20 below concern document(s) or information included:**
11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
  13. a. ☒ A FIRST preliminary amendment.
  - b. ☐ A SECOND or SUBSEQUENT preliminary amendment.
  14. ☐ An Application Data Sheet under 37 C.F.R. § 1.76.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
  18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
  19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
  20. ☒ Other items or information. (1) Written Opinion, (2) International Search Report and (3) PCT/IB/308 (Second and Supplementary Notice)

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U.S. APPLICATION NO. (If known) <b>10/588291</b> (see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO. <b>PCT/IB2005/050264</b>		ATTORNEY'S DOCKET NUMBER <b>2590-161</b>																									
<input checked="" type="checkbox"/> The following fees are submitted:																													
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b>																													
21. <input checked="" type="checkbox"/>	Basic national fee .....	\$300.00 (1631)/\$150.00 (2631)	\$	150.00																									
22. <input checked="" type="checkbox"/>	Examination Fee..... (1643/2643)	\$0	\$	100.00																									
	.....	\$200.00 (1633)/\$100.00 (2633)																											
23. <input checked="" type="checkbox"/>	Search Fee .....	\$0 (1640/2640)																											
	.....	\$100 (1641)/\$50 (2641)																											
	.....	\$400 (1642)/\$200 (2642)																											
	.....	\$500.00 (1632)/\$250.00 (2632)	\$	200.00																									
<b>TOTAL OF ABOVE CALCULATIONS</b>			\$	450.00																									
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																													
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																										
0 -100	0 /50 =	0.00	\$250.00 (1681) \$125.00 (2681)																										
Surcharge of \$130.00 (1617)/\$65.00 (2617) for furnishing the oath or declaration later than <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e).																													
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th># EXTRA</th> <th colspan="3">RATE</th> </tr> <tr> <td>Total Claims</td> <td>20</td> <td>minus 20 =</td> <td>0 X</td> <td>\$50.00 (1615)/</td> <td>\$25.00 (2615)</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>minus 3 =</td> <td>0 X</td> <td>\$200.00 (1614)</td> <td>\$100.00 (2614)</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</td> <td colspan="3">\$360.00 (1616)/\$180.00 (2616)</td> </tr> </table>						CLAIMS	NUMBER FILED	# EXTRA	RATE			Total Claims	20	minus 20 =	0 X	\$50.00 (1615)/	\$25.00 (2615)	Independent Claims	1	minus 3 =	0 X	\$200.00 (1614)	\$100.00 (2614)	MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			\$360.00 (1616)/\$180.00 (2616)		
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MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			\$360.00 (1616)/\$180.00 (2616)																										
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253)/\$510.00 (2253); Four Month Extensions \$1590.00 (1254)/\$795.00 (2254)																													
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.																													
Processing fee of \$130.00 (1618), for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f). + 0.00																													
<b>TOTAL NATIONAL FEE =</b>				\$	450.00																								
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property +																													
Fee for Petition to Revive Unintentionally Abandoned Application; \$1500.00 (1453) / \$750.00 (2453)				\$	0.00																								
<b>TOTAL FEES ENCLOSED =</b>				\$	490.00																								
					Amount to be refunded: \$																								
					Amount to be Charged: \$																								
<p>a. <input type="checkbox"/> A check in the amount of \$490.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.</p> <p>d. <input checked="" type="checkbox"/> <b>CREDIT CARD PAYMENT FORM ATTACHED.</b></p> <p>e. <input checked="" type="checkbox"/> The entire content of International Application No. PCT/IB2005/050264 and any U.S. and foreign application(s) corresponding thereto, and PCT/CH2004/000070, referred to in this application is/are hereby incorporated by reference in this application.</p> <p><b>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status.</b></p> <p><b>CORRESPONDENCE ADDRESS</b> Direct all correspondence to: <input checked="" type="checkbox"/> <b>Customer Number:</b> <span style="border: 1px solid black; padding: 2px 20px;"><b>23117</b></span> <small>Type Customer Number here</small></p>																													
<p>Telephone: (703) 816-4000 DMB:lmj</p> <div style="text-align: right;">   <b>Duane M. Byers</b>  NAME  <b>33,363</b>  REGISTRATION NUMBER  <b>August 4, 2006</b>  Date </div>																													